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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2003\_1659A

First Named Inventor : Seiji KATSUOKA et al.

Title: SUBSTRATE PROCESSING METHOD AND APPARATUS

Express Mail Label No.:

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

Commissioner for Patents  
ADDRESS TO: P.O. Box 1450  
Alexandria, VA 22313-1450U.S.P.T.O.  
10/7/2003  
22388  
111403

1.  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)

2.  Small Entity Status is hereby asserted.

3.  Specification [Total Pages: 48]

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Sequence Listing, a table, or a computer program listing appendix.
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4.  Drawing(s) (35 USC 113) [Total Sheets: 17]

5.  Oath or Declaration [Total Pages : 3]

- a.1.  Newly executed (original or copy)
- a.2.  Unexecuted
- b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)

i.  DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet (see 37 CFR 1.76)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet :

Continuation       Divisional       Continuation-in-part (CIP)      of prior application No.

Prior Application Information    Examiner    Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

By:   
Michael S. Huppert  
Registration No. 40,268

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November 14, 2003

CUSTOMER NO.

000513

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Seiji KATSUOKA et al.

Serial No. NEW : Attn: APPLICATION BRANCH

Filed November 14, 2003 : Attorney Docket No. 2003\_1659A

SUBSTRATE PROCESSING METHOD  
AND APPARATUS

**PATENT OFFICE FEE TRANSMITTAL FORM**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached hereto is a check in the amount of \$788.00 to cover Patent Office fees relating to filing the following attached papers:

New application ..... \$770.00

Assignment for Recordal ..... \$

Additional Claims Fee:

Excess of Twenty ..... \$18.00

Independent ..... \$

Multiple Dependent Fee ..... \$

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Seiji KATSUOKA et al.

By Michael S. Huppert  
Michael S. Huppert  
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November 14, 2003

[Check No. 58699]  
2003\_1659A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Seiji KATSUOKA et al. : **Attn: APPLICATION BRANCH**  
Serial No. NEW : Attorney Docket No. 2003\_1659A  
Filed November 14, 2003 : THE COMMISSIONER IS AUTHORIZED  
SUBSTRATE PROCESSING METHOD TO CHARGE ANY DEFICIENCY IN THE  
AND APPARATUS FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

**COVER LETTER FOR APPLICATION FILED**  
**WITHOUT EXECUTED DECLARATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The above-identified application has been submitted without an executed oath or declaration pursuant to 37 CFR 1.41(c).

It is respectfully requested that this application be assigned a serial number and awarded a filing date pursuant to 37 CFR 1.53.

A duly executed oath or declaration pursuant to 37 CFR 1.63 will be submitted after notification by the U.S. Patent and Trademark Office pursuant to 37 CFR 1.53(f).

A non-executed copy of the Declaration and Power of Attorney, containing the inventorship information, is attached. It is respectfully requested that all communications be directed to the firm indicated on the attached Declaration and Power of Attorney, namely:

WENDEROTH, LIND & PONACK, L.L.P.  
2033 K Street, N.W., Suite 800  
Washington, D.C. 20006-1021

The required U.S. Patent and Trademark Office Filing Fee is submitted herewith.

Respectfully submitted,

Seiji KATSUOKA et al.

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November 14, 2003